# On the recovery of a polygon's shape from its diameter function\*

(extended abstract)

Anil S. Rao<sup>†</sup>and Kenneth Y. Goldberg<sup>‡</sup> University of Southern California Los Angeles, California 90089-0273.

## Abstract

In robot applications, a parallel-jaw gripper or scanning light beam can be used to measure the diameter of a part as a function of the angle of grasp or projection. Can such measurements be used to determine part shape? Our primary result is that the part shape cannot be uniquely recovered from its diameter function even when we are restricted to the class of planar polygonal parts. We show that for any polygonal part, there exists an infinite class of parts with the same diameter function. Since most of these parts have parallel edges, we consider the problem of identifying a representative part having no parallel edges from this class. We show that deciding if such a part exists is NP-Complete.

### 1 Introduction

In this paper we consider the class of parts with constant polygonal cross section or planar polygonal parts. Our objective is to recover the shape of a part's cross section by grasping the part with a parallel-jaw gripper at various angles and measuring the distance between the jaws (the diameter at that angle of measurement). We can also measure the diameter as the length of the projection from a scanning light beam. Both of these sensors are inexpensive and widely available. Can such measurements be used to determine part shape? This problem may thus be posed in the context of geometric probing [1, 16] as a question of shape recovery from "diameter probes",

which are weaker than projection probes [9] in that they return only the *length* of the projection.

Let  $S^1$  denote the space of planar orientations  $[0,2\pi)$ . Given a fixed polygon P in an x-y coordinate frame, the diameter function  $d:S^1\to\mathcal{R}_+$  of P is formally defined as follows. Imagine two (infinite) parallel lines l,h (supporting lines) both making angle  $\phi$  with the x-axis, just touching P so that P lies entirely in the region between the two lines. In such a case we say that the supporting lines l,h are at orientation  $\phi$  with respect to the (fixed) polygon P. The distance between the two lines that are at orientation  $\phi$  is  $d(\phi)$ .

The diameter function has period  $\pi$ . Also, diameter function of a polygon is the diameter function of its convex hull and so we can only seek shape recovery of the convex hull of a polygon. Therefore we may assume all polygons considered in this paper to be convex.

Our results in this paper are the following. Call a diameter function valid if it is the diameter function of a polygon. In section 2 we show that for every valid diameter function d there exist infinitely many polygons consistent with it. Thus, complete shape recovery of a polygon from its diameter function is impossible. However, we show that the orientation of every edge of the polygon and partial perimeters of the polygon along any orientation are recoverable from the diameter function. Looking at the proofs of these results, it becomes natural to consider shape recovery for the restricted class of polygons having no parallel edges (we call such polygons Minimal polygons). In section 3 we show that although the number of minimal polygons consistent with a given diameter function is finite, complete shape recovery still impossible. Also we show that deciding the question, "Given valid diameter function d, is there a minimal polygon consistent with d" to be NP-complete.

The proofs of many of the propositions in this extended abstract are omitted due to space limitations and can be found in [13].

<sup>\*</sup>This work was supported by a 1991 Faculty Research Initiation Fund (FRIF) Grant from The University of Southern California.

<sup>&</sup>lt;sup>†</sup>Department of Electrical Engineering - Systems, rao@halcyon.usc.edu, 213-740-2350.

<sup>&</sup>lt;sup>1</sup>Departments of Computer Science and Electrical Engineering - Systems, goldberg@iris.usc.edu, 213-740-9080.

Related Work: The concept of diameter of a set of points, the maximizing distance over all pairs of points, is well studied in computational geometry [11]. Diameter functions have been alternatively termed "width functions" in [17] and were applied by Jameson [6] to determine grasp stability for a part grasped in the jaws of a parallel-jaw gripper. Goldberg [4] used the diameter function to generate plans, in  $O(n^2)$  time, to orient n-gonal parts. Rao and Goldberg [14] extend these results to curved parts. Prasanna and Rao [10] study parallel mesh algorithms for orienting parts. [8] investigates tactile exploration of objects using a parallel jaw gripper. A major lemma (Lemma 3) in proving our NPcompleteness result is showing that the problem of arranging (translating) a set of line segments, no two of which are parallel, into a convex polygon is NPcomplete. This bears some resemblance to the result of Rappaport [15] which shows that the problem of drawing (additional) line segments to connect a collection of given fixed line segments (by their endpoints) into a simple circuit is NP-complete. In our problem, we allow the segments to translate (only) and we do not allow additional line connecting segments. Dekster [2] considers the problem of assembling any r out of n segments into an r-gon and gives a rule for this to be true on basis of the lengths of the segments. However, in "assembling" he allows rotations (in addition to translations).

### 1.1 Valid diameter functions

In this section we characterize valid diameter functions. A function  $f: S^1 \to \mathcal{R}_+$  is said to be a "good" piecewise sinusoidal function (gpsf) if there exists a finite integer  $Z \geq 4$ , and a cyclic ordering of orientations

$$\phi_0 < \phi_1 < \ldots < \phi_{Z-1} < \phi_Z = \phi_0$$

such that  $\forall j \in \{0, 1, ..., Z-1\}$ , and  $\forall \phi \in [\phi_j, \phi_{j+1}] : f(\phi) = l_j \cos(\phi + \alpha_j)$ , for some  $l_j \in \mathcal{R}_+$ , and  $\alpha_j \in S^1$ .

Notice that a gpsf is continuous, single valued, and has a finite number of local extrema. Furthermore, a gpsf is differentiable at all but a finite number (at most Z) of orientations in  $S^1$ . For a gpsf f, let MAX(f), MIN(f) denote circularly ordered list of local maxima, local minima orientations, respectively.  $\Phi(f)$ , the set of transition orientations of f, denotes the circularly ordered list  $\{\phi_0, \ldots, \phi_{Z-1}\}$  from the definition of the gpsf f.

Theorem 1 A function f is a valid diameter function if and only if

1. f is a gpsf,

- 2. f has period  $\pi$ , and
- 3.  $MIN(f) \subseteq \Phi(f)$ ,  $MAX(f) \cap \Phi(f) = \emptyset$  (that is, parameters of the sinusoid change at every local minima and never change at any local maxima).

# 2 Main results

In this section we present our main results on shape recovery from diameter. Theorem 2 presents a necessary and sufficient condition for two polygons to have the same diameter function. Theorem 3 shows that there are infinitely many polygons, all satisfying the conditions of Theorem 2, and all having the same diameter function.

Let d denote a valid diameter function. Two circular lists of orientations (such as  $\Phi(d)$ , MAX(d)) are equal if they are equal after some fixed offset is added to every element of one of the lists.

From now on, maxima, minima stand for local maxima, local minima (in a diameter function), respectively. Orientations in  $k(d) = \Phi(d) - MIN(d)$  are called *kink orientations*, or more simply *kinks*. That is, kinks are the non-minima orientations at which the parameters of the sinusoid describing d change. Kinks and minima, *i.e.* orientations in  $\Phi(d) = MIN(d) \cup k(d)$ , are all and the only orientations at which an edge of the polygon P is flush with (at least) one of l, h.

Let m, k, respectively denote the number of minima, kinks in  $[0, \pi)$ , in the diameter function d of an n-gon P. Let p be the number of pairs of parallel edges in P.

#### Lemma 1 n-p=m+k. $\square$

Notice that the quantities on the left side n, p are the polygon's geometrical properties, while the quantities on the right m, k are properties of its diameter function. Let us refer to an n-gon having p pairs of parallel sides as an n, p-polygon. Similarly, a diameter function having m minima and k kinks is an m, k-diameter function.

Corollary 1 If an  $n_1, p_1$ -polygon and an  $n_2, p_2$ -polygon have the same diameter function, then  $n_1 - p_1 = n_2 - p_2$ .  $\square$ 

The orientation of an edge e of P is the angle mod $\pi$  made by the edge with the positive x-axis. Let ANGLES(P) denote an ordered circular list of

orientations of the edges of P.<sup>1</sup>  $t_P(\phi)$ ,  $0 \le \phi < \pi$ , the partial perimeter of P restricted to orientation  $\phi$ , is the sum of the lengths of (at most two) edges of P that have orientation  $\phi$ . We drop the subscript when we are discussing only one polygon. Finally, PARTIALS(P) denotes the circular list of the non-zero partial perimeters of P ordered in the order of increasing  $\phi$ .

A polygon P is said to be *consistent* with a valid diameter function d between orientations  $[\phi_a, \phi_b]$  if the diameter function of P matches d between orientations  $[\phi_a, \phi_b]$ . This is written as  $P \sim d[\phi_a, \phi_b]$ .

Throughout this paper, infinitely many stands for uncountably infinite. We will show in Theorem 2 that, in a sense, ANGLES(P), PARTIALS(P) are the maximal non-redundant (and invertible) information of the geometry of a polygon P obtainable from its diameter function; ANGLES(P) giving the orientations of the edges of P, and PARTIALS(P) the partial perimeter along each orientation. However, the two lists do not completely determine P because there could be up to two edges along an orientation  $\phi$  and the  $t(\phi)$  condition is only a constraint on the sum of the length of the two edges. In fact, Theorem 3 shows that infinitely many polygons exist sharing the same diameter function.

Lemma 2 Let  $0 < \phi_1 < \phi_2$  be an adjacent triplet of orientations in  $\Phi(d)$  of a valid diameter function d. Let  $0, \phi_1, \phi_2$  also be an adjacent triplet in ANGLES(P), for some polygon P. Further, let P be consistent with d at orientations 0 and  $\phi_2$ . Then, if  $L, \alpha$  are the parameters of the sinusoid between  $(0, \phi_1)$ , i.e.  $L\cos(\alpha) = d(0), L\cos(\alpha + \phi_1) = d(\phi_1)$ ,

$$P \sim d[0, \phi_2] \Leftrightarrow t(\phi_1) = \frac{d(\phi_2) - L\cos(\phi_2 + \alpha)}{\sin(\phi_2 - \phi_1)}.$$

Theorem 2 Two polygons P, Q have the same diameter function if and only if ANGLES(P) = ANGLES(Q) and PARTIALS(P) = PARTIALS(Q).

Diameter functions of parallelograms (4,2-gons) are termed trivial.

Theorem 3 For every non-trivial valid diameter function d there exist infinitely many polygons having the same diameter function.

Proof: Fig. 1 shows this is true for diameter functions of triangles. Towards the generalization assume that P is a polygon having diameter function d. P exists since d is valid. Let A, C be two vertices of P touching l, h at a maxima orientation. Let this maxima orientation be the zero orientation, WLOG. Let D, B be the vertices adjacent to C in P (i.e. DC, BC are two edges of P). Likewise, let D\*, B\* be the vertices adjacent to A. D\* and D are on the same side of AC (as are B\* and B). D\* (resp. B\*) could be coincident with D (resp. B). For example, in Fig. 1: the quadrilateral case,  $D = D^*, B = B^*.$  Let  $\phi_1, \pi - \phi_2, \phi_3, \pi - \phi_4$  be the orientations of edges CB, AB\*, AD\*, CD, respectively. Without loss of generality assume  $\phi_2 < \phi_4, \phi_1 < \phi_3$ . The other cases (including equality) are treated similarly. See Fig. 2. F', E' are can be arbitrarily chosen on CB, AB\*, respectively. G' is such that CG' is parallel and equal to B\*E'. Thus we have  $t(\phi_2) = |AB *| = |AE'| + |CG'|$ . H' is determined similarly. It is defined so that AH' is parallel and equal to BF'. Now,  $t(\phi_1) = |CB| = |CF'| + |AH'|$ .

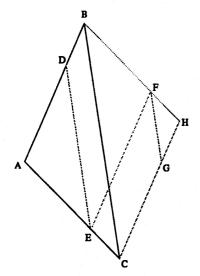
A line is drawn parallel to AD\* (resp. (DC)) through H' (resp. G'). Points D\*', D' are chosen on these two lines so that the distance between D', D\*' is equal to that between D\*, D. Now the portion of the polygon P between D\*, D can be moved over to between D\*', D'.

B\*', B' are defined in a similar manner. First a line is drawn parallel to AD\* (resp. DC) through F' (resp. E'). B\*', B' are chosen on these lines so that the distance between them equals that between B\*, B\*. The portion of P between B\*, B\* can be moved over to between B', B\*'. If this causes any problems of convexity, then take the edges F'B', E'B\*', and those originally between B, B\*, sort them by orientation, and arrange them between F' and E'.

Simple geometry can be applied to show that  $|H'D*'|+|B'F'|=|AD*|=t(\phi_3)$  and  $|G'D'|+|E'B*'|=|DC|=t(\phi_4)$ . For example to show that |H'D\*'|+|B'F'|=|AD\*|, draw a line through H' parallel to D\*'D' intersecting AD\* at Z. Now note that triangle F'B'B is congruent to triangle H'ZA and so |B'F'|=|AZ|. Also note that H'ZD\*D\*' is a parallelogram, and so |H'D\*'|=|ZD\*|.

Thus, the two polygons  $P \stackrel{\text{def}}{=} A, D*, \dots, D, C, B, \dots, B*, A$  and  $P' \stackrel{\text{def}}{=} A, H', D*', \dots, D', G', C, F', B', \dots, B*', E', A$  have the same diameter function by Theorem 2 since  $t_P = t_{P'}$  and  $\Phi_P = \Phi_{P'}$ . Finally, note that there are infinitely many P' since the choices of F', E' (along a line segment) were arbitrary.  $\square$ 

<sup>&</sup>lt;sup>1</sup>It may be noted that if d denotes the diameter function of P, then ANGLES(P) is simply  $\Phi(d)$  restricted to  $[0, \pi)$ .



ABC is the given triangle.

Let x be any number such that 0 < x < 1.

Pick points D,E on AB,AC, respectively, such that IDEI = x IBCI and DE II BC.

Flip the triangle about BC so that A falls on H.

CH || AB and BH || AC.

Pick points F,G on BH,CH, respectively, such that IFG! = (1-x) IBC! and FG II BC.

Now hexagon BDECGF has same diamete function as triangle ABC, since

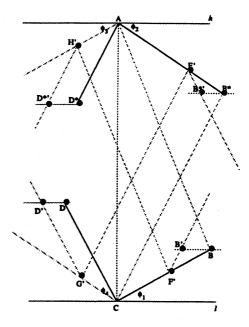
function as triangle ABC, since IRGI + IDEI = IBCI IIBFI + IECI = IACI IIBDI + IGCI = IBAI

Figure 1: Infinitely many hexagons having same diameter function as given triangle/quadrilateral.

# 3 Minimal Polygons

Theorem 3 is a negative result for shape recovery from diameter: there exist infinitely many polygons consistent with a given measured diameter function. However, the proof of the theorem basically involved showing that a particular length  $t(\phi)$  could be split, in infinitely many ways, into two segments (in the polygon), both of orientation  $\phi$  whose lengths sum up to  $t(\phi)$ . Thus, most of the polygons in this infinite class would have parallel edges of varying lengths. This suggests that we might define a representative or minimal polygon as one without any parallel edges consistent with a given diameter function. Two obvious questions arise: does there always exist a representative polygon for a given diameter function; and if a representative polygon exists, is it always unique? We show that deciding the former question is NP-complete in Theorem 5 and latter question is answered in the negative in Theorem 4 by constructing a counter-example,

Formally, we define a minimal polygon to be a (convex) polygon without any parallel edges, i.e. an n, 0-polygon. Positive results for complete shape recovery from diameter of minimal polygons might be expected in the light of the easily established fact that for an m, k-diameter function there can be at most a finite number  $(2^{m+k-1})$  of minimal polygons consistent with it. However:



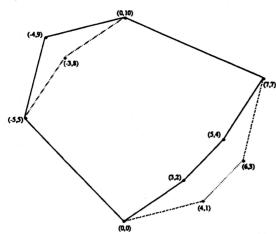
A, B\*, B, C, D, D\* are vertices of the original polygon P. Portions of P betwee B,B\* and D,D\* are not shown. In the new polygon P', D,D\*,B,B\* do not exist and are replaced by the 8 primed vertices shown. The method of construction of these eight points is shown in the proof of the theorem.

Both polygons P,P' have same perimeter along every orientation and therefore have the same diameter function.

Figure 2: Infinitely many polygons having the same diameter function as a given polygon.

Theorem 4 Minimal polygons satisfying a given diameter function are not always unique.

Proof: See Fig. 3. ⊔



The two polygons, one with the shaded edges and the other with the bold edges The two polygons, one with the shaded edges and the other with that the following properties:

1. Both have the same set of orientations of edges.

2. Both have same total perimeter along every orientation.

3. Both have no parallel edges.

From 1,2, and Theorem 2, both have the same diameter function.

Thus, minimal polygons conistent with a given diameter function are not unique.

Figure 3: Two minimal polygons that have the same diameter function.

Now we show that deciding that whether a minimal polygon exists consistent with a given diameter function is NP-complete. We begin with some definitions. By arranging a set of n planar segments  $S_0, \ldots, S_{n-1}$ , we mean translating them in the plane so that if any two segments intersect, they do so only at their end-points. All sets in this section are multisets, i.e. they could contain more than one identical element. Consider the following problem.

MINIMAL\_POLYGON\_FROM\_SEGMENTS (MPFS): Given n segments, no two of which are parallel, does there exist an arrangement of them forming a convex polygon?

#### Lemma 3 MPFS is NP-complete. □

Now consider this problem: MINIMAL\_POLYGON\_FROM\_DIAMETER \_FUNCTION (MPFD): Given an m, k-diameter function d, is there a minimal polygon P consistent with **d**?

Theorem 5 MPFD is NP-Complete.

**Proof:** Let algorithm MPFD(d), where d is an m, kdiameter function, return true (resp. false) according as whether there is (resp. is not) an m+k, 0 polygon P (fully) consistent with d.

Then we solve the MPFS problem using the following algorithm:

INPUT: description of n planar segments, no two of which are parallel.

OUTPUT: true/false whether or not they form a convex polygon.

- 1. Let ANGLES be a circular list of the orientations of the input segments in sorted order and let PARTIALS be a list of the lengths of the segments sorted according to the order in ANGLES.
- 2. By solving a system of 3n linear equations in 3nunknowns (see proof of Theorem 2), it is possible to determine whether or not there exists some (valid) diameter function d and a polygon P' such that: (i)  $\Phi(d) \mod \pi = ANGLES$ , (ii) PARTIALS(P') = PARTIALS, and (iii) d is the diameter function of P'. The unique solution to the equations provides a construction of d if it exists.2

If there does not exist such a d, return "FALSE" and exit.

3. We assume that there exists such a valid d. Now invoke MPFD(d).

Return "TRUE" if and only if MPFD(d) returned "true".

It is straightforward to check the correctness of the algorithm and the fact that it runs in polynomial time given that Algorithm MPFD does.  $\square$ 

#### 4 Discussion

Since parallel jaw grippers and scanning light beams are inexpensive and widely available, we ask: Are diameter measurements sufficient to determine part shape? We show that the answer is negative by constructing an infinite class of polygonal parts with the same diameter function as any given polygon. Furthermore, deciding if there is a representative (minimal) polygon from this class is NP-Complete.

The good news is that we can recover some information about part shape, namely the list of edge angles and the partial perimeters at each angle. This

<sup>&</sup>lt;sup>2</sup>However, remember that P' could be convex and have parallel edges.

suggests that diameter measurements could be used to recognize a part from a set of known parts. One idea is to modify the parallel jaw gripper as in [3] so that parts rotate into one of a finite number of stable orientations when grasped. Since stable diameters are not unique, one approach is to randomly grasp the part and use a Bayesian estimator to update a probability distribution on the set of parts [7] until the distribution converges on a single part.

Although random grasping appears to have good average-case performance, it's worst case performance is poor. If there are k polygonal parts, each with no more than n edges, [5] shows how to construct an O(kn) time strategy for recognizing parts based on Goldberg's parts orienting algorithm. We are currently working on a method for generating optimal grasp strategies [12].

Acknowledgment We wish to thank Doug Ierardi for interesting discussions and Babu Narayanan and Govindan Rajeev for feedback on an earlier draft.

# References

- [1] R. Cole and C. K. Yap. Shape from probing. Journal of Algorithms, 8(1):19-38, 1987.
- [2] B. V. Dekster. Assembling r-gons out of n given segments. Mathematics Magazine, 65(1):44-48, February 1992.
- [3] K. Y. Goldberg. Stochastic plans for robotic manipulation. PhD thesis, School of Computer Science, Carnegie-Mellon University, August 1990.
- [4] K. Y. Goldberg. Orienting polygonal parts without sensors. Algorithmica, 1992. Special issue on robotics (to appear).
- [5] D. J. Ierardi and Y-B. Chen. The complexity of oblivious plans for orienting polygonal parts. Technical Report USC-CS-92-502, University of Southern California, Dept. of Computer Science, December 1991.
- [6] J. Jameson. Analytic techniques for automated grasp. PhD thesis, Stanford University, June 1985.
- [7] D. Kang and K. Y. Goldberg. Shape recognition by random grasping. In International Conference on Intelligent Robots and Systems. IEEE, July 1992.
- [8] A. Koutsou. Object exploration using a paralleljaw gripper. GRASP Lab Tech Report MS-CIS-88-48, University of Pennsylvania, 1988.

- [9] S-Y. R. Li. Reconstruction of polygons from projections. *Information Processing Letters*, 28:235-240, 12 Aug. 1988.
- [10] V. K. Prasanna and A. S. Rao. Parallel orientation of polygonal parts. In *International conference on Parellel Processing*, 1992. To appear in the IEEE Tranactions on Robotics and Automation.
- [11] F. P. Preparata and M. I. Shamos. Computational Geometry, An Introduction. Springer-Verlag, New York, 1985.
- [12] A. S. Rao and K. Y. Goldberg. Shape from diameter: Recognizing polygonal parts with a parallel-jaw gripper. Submitted to the International Journal of Robotics Research. Also Tech. Report IRIS # 292, USC, April, 1992.
- [13] A. S. Rao and K. Y. Goldberg. Shape from diameter: on the recovery of a polygon's shape from its diameter function. Technical Report IRIS # 270, University of Southern California, Institute of Robotics and Intelligent Systems, Los Angeles, Calif. 90089-0273, August 1991.
- [14] A. S. Rao and K. Y. Goldberg. Orienting generalized polygons. In *International conference* on Robotics and Automation, Nice, France, May 1992. IEEE.
- [15] D. Rappaport. Computing simple circuits from a set of line segments is np-complete. In Annual Symposium on Computational Geometry, pages 322-330. ACM, 1987.
- [16] S. S. Skiena. Problems in geometric probing. Algorithmica, pages 599-605, 1989.
- [17] I. M. Yaglom and V.G. Boltyanskii. Convex Figures. Holt, Rinehart and Winston, New York, 1951.