

# An In-Place Priority Search Tree\*

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## Abstract

One of the classic data structures for storing point sets in  $\mathbb{R}^2$  is the priority search tree, introduced by McCreight in 1985. We show that this data structure can be made in-place, i.e., it can be stored in an array such that each entry only stores one point of the point set. We show that the standard query operations can be answered within the same time bounds as for the original priority search tree, while using only  $O(1)$  extra space.

## 1 Introduction

Let  $P$  be a set of  $n$  points in  $\mathbb{R}^2$ . A *priority search tree*, as introduced by McCreight [2], is a binary tree  $T$  with exactly one node for each point of  $P$  and that has the following two properties:

- For each non-root node  $u$ , the point stored at  $u$  has a smaller  $y$ -coordinate than the  $y$ -coordinate stored at the parent of  $u$ .
- For each internal node  $u$ , all points in the left subtree of  $u$  have an  $x$ -coordinate which is less than the  $x$ -coordinate of any point in the right subtree of  $u$ .

The first property implies that  $T$  is a max-heap on the  $y$ -coordinates of the points in  $P$ . The second property implies that  $T$  is a binary search tree on the  $x$ -coordinates of the points in  $P$ , except that there is no relation between the  $x$ -coordinates of the points stored at  $u$  and any of its children.

In order to use  $T$  as a binary search tree on the  $x$ -coordinates, McCreight stored at each internal node  $u$  one additional point  $p_u$  of  $P$ , viz., the point in the left subtree of  $u$  whose  $x$ -coordinate is maximum. Thus, the data structure uses  $O(n)$  space and, by taking for  $T$  a balanced tree, several types of range queries can be answered efficiently:

- **HIGHESTNE** $(x_0, y_0)$ : report the highest point of  $P$  in the north-east quadrant of the query point  $(x_0, y_0)$ .

- **LEFTMOSTNE** $(x_0, y_0)$ : report the leftmost point of  $P$  in the north-east quadrant of the query point  $(x_0, y_0)$ .
- **HIGHEST3SIDED** $(x_0, x_1, y_0)$ : report the highest point of  $P$  in the 3-sided query range  $[x_0, x_1] \times [y_0, \infty)$ .
- **ENUMERATE3SIDED** $(x_0, x_1, y_0)$ : report all points of  $P$  in the 3-sided query range  $[x_0, x_1] \times [y_0, \infty)$ .

The first three queries can be answered in  $O(\log n)$  time, whereas the fourth query takes  $O(\log n + m)$  time, where  $m$  is the number of points of  $P$  that are in the query range.

In this paper, we show that these results can also be obtained without storing the “splitting” points  $p_u$  at the internal nodes of the tree. Thus, any node of the tree stores exactly one point of  $P$  and, as a result, we obtain an *in-place* implementation of the priority search tree: We take for  $T$  a binary tree of height  $h = \lfloor \log n \rfloor$ , such that the levels<sup>1</sup>  $0, 1, \dots, h - 1$  are full and level  $h$  consists of  $n - (2^h - 1)$  nodes which are aligned as far as possible to the left. This allows us to store the tree, like in a standard heap, in an array  $P[1 \dots n]$ ; the root is stored at  $P[1]$ , its left and right children in  $P[2]$  and  $P[3]$ , etc.

In the rest of this paper, we will present algorithms for constructing the in-place priority search tree and answering the above queries. Each of these algorithms uses, besides the array  $P[1 \dots n]$ , only  $O(1)$  extra space, in the sense that a constant number of variables are used, each one being an integer of  $O(\log n)$  bits. The main result of this paper is the following:

**Theorem 1** *Let  $P$  be a set of  $n$  points in  $\mathbb{R}^2$ .*

1. *The in-place priority search tree can be constructed in  $O(n \log n)$  time using  $O(1)$  extra space.*
2. *Each of the queries **HIGHESTNE**, **LEFTMOSTNE**, and **HIGHEST3SIDED** can be answered in  $O(\log n)$  time using  $O(1)$  extra space.*
3. *The query **ENUMERATE3SIDED** can be answered in  $O(\log n + m)$  time using  $O(1)$  extra space, where  $m$  is the number of points of  $P$  that are in the query range.*

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<sup>1</sup>The root is at level 0.

For ease of presentation, we assume that no two points in the set  $P$  have the same  $x$ -coordinates and no two points in  $P$  have the same  $y$ -coordinates. The  $x$ - and  $y$ -coordinates of a point  $p$  in  $\mathbb{R}^2$  will be denoted by  $x(p)$  and  $y(p)$ , respectively.

## 2 Constructing the in-place priority search tree

Let  $h = \lfloor \log n \rfloor$  be the height of the priority search tree. Our algorithm constructs the tree level by level and maintains the following invariant:

- The subarray  $P[1 \dots 2^i - 1]$  stores levels  $0, 1, \dots, i - 1$  of the tree, and the points in the subarray  $P[2^i \dots n]$  are sorted by their  $x$ -coordinates.

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### Algorithm 1: CONSTRUCTPST

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**Input:** An array  $P[1 \dots n]$  of points in  $\mathbb{R}^2$ .

**Output:** The priority search tree of those points stored in  $P$ .

```

1  $h = \lfloor \log n \rfloor$ ;  $A = n - (2^h - 1)$ ;
2 HEAPSORT(1,  $n$ );
3 for  $i = 0$  to  $h - 1$  do
4    $k = \lfloor A/2^{h-i} \rfloor$ ;
5    $K_1 = 2^{h+1-i} - 1$ ;
6    $K_2 = 2^{h-i} - 1 + A - k2^{h-i}$ ;
7    $K_3 = 2^{h-i} - 1$ ;
8   for  $j = 1$  to  $k$  do
9      $\ell = \text{index in}$ 
        $\{2^i + (j-1)K_1, \dots, 2^i + jK_1 - 1\}$  such that
        $y(P[\ell])$  is maximum;
10    swap  $P[\ell]$  and  $P[2^i + j - 1]$ ;
11   if  $k < 2^i$  then
12      $\ell = \text{index in}$ 
        $\{2^i + kK_1, \dots, 2^i + kK_1 + K_2 - 1\}$  such that
        $y(P[\ell])$  is maximum;
13     swap  $P[\ell]$  and  $P[2^i + k]$ ;
14      $m = 2^i + kK_1 + K_2$ ;
15     for  $j = 1$  to  $2^i - k - 1$  do
16        $\ell = \text{index in}$ 
          $\{m + (j-1)K_3, \dots, m + jK_3 - 1\}$  such
         that  $y(P[\ell])$  is maximum;
17       swap  $P[\ell]$  and  $P[2^i + k + j]$ ;
18   HEAPSORT( $2^{i+1}, n$ );
```

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The algorithm starts by sorting the array  $P[1 \dots n]$  by  $x$ -coordinates. After this sorting step, the invariant holds with  $i = 0$ .

Let  $i$  be an index with  $0 \leq i < h$ , and consider the  $i$ -th step of the algorithm. Let  $A = n - (2^h - 1)$  be the number of nodes at level  $h$  of the tree, and let  $k = \lfloor A/2^{h-i} \rfloor$ . Level  $i$  consists of  $2^i$  nodes. If  $k = 2^i$ , then each of these nodes is the root of a subtree of size  $2^{h+1-i} - 1$ . Otherwise, we have  $k < 2^i$ , in which case level  $i$  consists of, from left to right,

1.  $k$  nodes, which are roots of subtrees, each of size  $K_1 = 2^{h+1-i} - 1$ ,
2. one node, which is the root of a subtree of size  $K_2 = 2^{h-i} - 1 + A - k2^{h-i}$ ,
3.  $2^i - 1 - k$  nodes, which are roots of subtrees, each of size  $K_3 = 2^{h-i} - 1$ .

We divide the subarray  $P[2^i \dots n]$  into  $2^i$  blocks: If  $k = 2^i$ , then there are  $k$  blocks of size  $2^{h+1-i} - 1$ . Otherwise, there are, from left to right, (i)  $k$  blocks of size  $K_1$ , (ii) one block of size  $K_2$ , and (iii)  $2^i - 1 - k$  blocks of size  $K_3$ .

The algorithm scans the subarray  $P[2^i \dots n]$  and in each of the  $2^i$  blocks, finds the highest point. These highest points are swapped with the subarray  $P[2^i \dots 2^{i+1} - 1]$ . At this moment, level  $i$  of the tree has been constructed, but the elements in the subarray  $P[2^{i+1} \dots n]$  may not be sorted by their  $x$ -coordinates. Therefore, the algorithm runs the heapsort algorithm on this subarray.

The complete algorithm for constructing the in-place priority search tree is given in Algorithm 1. It uses algorithm HEAPSORT( $m, n$ ), which runs the heapsort algorithm on the subarray  $P[m \dots n]$ .

The correctness of this algorithm follows by observing that the invariant is correctly maintained. The initial sorting in line 2 takes  $O(n \log n)$  time using  $O(1)$  extra space. Each of the  $h = \lfloor \log n \rfloor$  iterations of the main for-loop takes  $O(n \log n)$  time and  $O(1)$  extra space. We can use one extra variable to maintain the value  $2^i$ , so that it does not have to be recomputed during the for-loop. Thus, the entire algorithm CONSTRUCTPST takes  $O(n \log^2 n)$  time and uses  $O(1)$  extra space.<sup>2</sup>

## 3 Queries on the in-place priority search tree

In this section, we present the algorithms for the query problems mentioned in Section 1. For ease of presentation, we describe the algorithms using the terminology of trees. We will denote by  $T$  the priority search tree that is implicitly defined by the array  $P[1 \dots n]$  that results by running algorithm CONSTRUCTPST. Recall that the root of  $T$ , denoted by  $root(T)$ , is stored at  $P[1]$ . Consider a node whose index in  $P$  is  $i$ . If  $2i \leq n$ , then this node has a left child, which is stored at  $P[2i]$ . If  $2i + 1 \leq n$ , then this node has a right child, which is stored at  $P[2i + 1]$ . This node is a leaf if and only if  $2i > n$ . We will identify each node in  $T$  with the point of  $P$  stored at that node. For any  $p$  in  $P$ , we denote by  $T_p$  the subtree rooted at  $p$ . Furthermore, the left and

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<sup>2</sup>Using the in-place algorithm of Katajainen and Pasanen [1] that stably sorts a sequence of  $n$  bits in  $O(n)$  time, the running time can be improved to  $O(n \log n)$  with  $O(1)$  extra space. The details will be given in the full paper.

right children of  $p$  (if they exist) are denoted by  $p_l$  and  $p_r$ , respectively.

### 3.1 HIGHESTNE( $x_0, y_0$ )

For two given real numbers  $x_0$  and  $y_0$ , let  $Q = [x_0, \infty) \times [y_0, \infty)$  be the north-east quadrant of the point  $(x_0, y_0)$ . If  $Q \cap P \neq \emptyset$ , define  $p^*$  to be the highest point of  $P$  in  $Q$ . If  $Q \cap P = \emptyset$ , define  $p^*$  to be the point  $(\infty, -\infty)$ . Algorithm HIGHESTNE( $x_0, y_0$ ) will return the point  $p^*$ .

The algorithm uses two variables  $best$  and  $p$ , which satisfy the following invariant:

- If  $Q \cap P \neq \emptyset$ , then  $p^* \in \{best\} \cup T_p$ .
- If  $Q \cap P = \emptyset$ , then  $p^* = best$ .

The algorithm initializes  $best = (\infty, -\infty)$  and  $p = root(T)$ . During the algorithm,  $p$  moves down the tree according to the relative positions of  $p$ , its children, and the quadrant  $Q$ . The algorithm is given in Algorithm 2. It uses the procedure UPDATEHIGHEST( $t$ ), which takes as input a point  $t$  and does the following: If  $t \in Q$  and  $y(t) > y(best)$  then it assigns  $best = t$ .

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#### Algorithm 2: HIGHESTNE( $x_0, y_0$ )

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**Input:** Real numbers  $x_0$  and  $y_0$  defining the north-east quadrant  $Q$ .  
**Output:** The highest point  $p^*$  in  $Q \cap P$ , if it exists; otherwise the point  $(\infty, -\infty)$ .

```

1   $best = (\infty, -\infty)$ ;  $p = root(T)$ ;
2  while  $p$  is not a leaf do
3      if  $p \in Q$  then
4          | UPDATEHIGHEST( $p$ );  $p = p_l$ ;
5      else if  $y(p) < y_0$  then
6          |  $p = p_l$ ;
7      else if  $p$  has one child then
8          |  $p = p_l$ ;
9      else if  $x(p_r) \leq x_0$  then
10         |  $p = p_r$ ;
11     else if  $x(p_l) \geq x_0$  then
12         |  $p =$  higher among  $p_l$  and  $p_r$ ;
13     else if  $y(p_r) < y_0$  then
14         |  $p = p_l$ ;
15     else
16         | UPDATEHIGHEST( $p_r$ );  $p = p_l$ ;
17 UPDATEHIGHEST( $p$ );
18 return  $best$ ;
```

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The correctness of this algorithm follows from the fact that the invariant is correctly maintained. Since in each iteration,  $p$  moves down the tree, the while-loop makes  $O(\log n)$  iterations, each one taking  $O(1)$  time. Thus, the total time for algorithm HIGHESTNE is  $O(\log n)$ . It follows from the algorithm that it uses  $O(1)$  extra space.

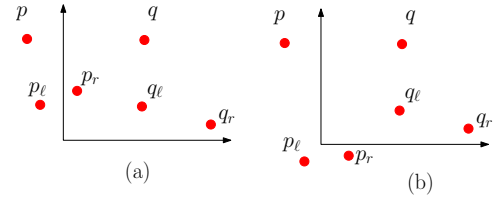


Figure 1: Two cases for LEFTMOSTNE( $x_0, y_0$ ).

### 3.2 LEFTMOSTNE( $x_0, y_0$ )

As before, let  $Q = [x_0, \infty) \times [y_0, \infty)$  be the north-east quadrant of the point  $(x_0, y_0)$ . If  $Q \cap P \neq \emptyset$ , define  $p^*$  to be the leftmost point of  $P$  in  $Q$ . If  $Q \cap P = \emptyset$ , define  $p^*$  to be the point  $(\infty, \infty)$ . Algorithm LEFTMOSTNE( $x_0, y_0$ ) will return the point  $p^*$ .

The algorithm uses three variables  $best$ ,  $p$ , and  $q$ , which satisfy the following invariant:

- If  $Q \cap P \neq \emptyset$ , then  $p^* \in \{best\} \cup T_p \cup T_q$ .
- If  $Q \cap P = \emptyset$ , then  $p^* = best$ .
- $p$  and  $q$  are at the same level of  $T$  and  $x(p) \leq x(q)$ .

The algorithm starts by initializing  $best = (\infty, \infty)$ ,  $p = root(T)$ , and  $q = root(T)$ . During the algorithm,  $p$  and  $q$  move down the tree according to the relative positions of their children and the quadrant  $Q$ . The algorithm is given in Algorithm 3. It uses the procedure UPDATELEFTMOST( $t$ ), which takes as input a point  $t$  and does the following: If  $t \in Q$  and  $x(t) < x(best)$  then it assigns  $best = t$ .

It follows by a careful case analysis that the invariant is correctly maintained, implying the correctness of the algorithm. In each iteration of the while-loop,  $p$  and  $q$  move down the tree, except in line 12. In the latter case, however,  $p$  will become a leaf in the next iteration. As a result, the while-loop makes  $O(\log n)$  iterations. Since each iteration takes  $O(1)$  time, the total time for algorithm LEFTMOSTNE is  $O(\log n)$ . It follows from the algorithm that it uses  $O(1)$  extra space.

### 3.3 HIGHEST3SIDED( $x_0, x_1, y_0$ )

The three real numbers  $x_0$ ,  $x_1$ , and  $y_0$  define the three-sided range  $Q = [x_0, x_1] \times [y_0, \infty)$ . If  $Q \cap P \neq \emptyset$ , define  $p^*$  to be the highest point of  $P$  in  $Q$ . If  $Q \cap P = \emptyset$ , define  $p^*$  to be the point  $(\infty, -\infty)$ . Algorithm HIGHEST3SIDED( $x_0, x_1, y_0$ ) returns the point  $p^*$ .

The algorithm uses two bits  $L$  and  $R$ , and three variables  $best$ ,  $p$ , and  $q$ . As before,  $best$  stores the highest point in  $Q$  found so far. The bit  $L$  indicates whether or not  $p^*$  may be in the subtree of  $p$ ; if  $L = 1$ , then  $p$  is to the left of  $Q$ . Similarly, the bit  $R$  indicates whether or not  $p^*$  may be in the subtree of  $q$ ; if  $R = 1$ , then  $q$  is to

**Algorithm 3:** LEFTMOSTNE( $x_0, y_0$ )

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**Input:** Real numbers  $x_0$  and  $y_0$  defining the north-east quadrant  $Q$ .

**Output:** The leftmost point  $p^*$  in  $Q \cap P$ , if it exists; otherwise the point  $(\infty, \infty)$ .

```

1  best = ( $\infty, \infty$ );  $p = \text{root}(T)$ ;  $q = \text{root}(T)$ ;
2  while  $p$  is not a leaf do
3      UPDATELEFTMOST( $p$ ); UPDATELEFTMOST( $q$ );
4      if  $p = q$  then
5          if  $p$  has one child then
6               $q = p_l$ ;  $p = p_l$ ;
7          else
8               $q = p_r$ ;  $p = p_l$ ;
9      else
10         //  $p \neq q$ 
11         if  $q$  is leaf then
12              $q = p$ ;
13         else if  $q$  has one child then
14             if  $y(q_l) < y_0$  then
15                  $q = p_r$ ;  $p = p_l$ ;
16             else if  $y(p_r) < y_0$  then
17                  $p = p_l$ ;  $q = q_l$ ;
18             else if  $x(q_l) < x_0$  then
19                  $p = q_l$ ;  $q = q_l$ ;
20             else if  $x(p_r) < x_0$  then
21                  $p = p_r$ ;  $q = q_l$ ;
22             else
23                  $q = p_r$ ;  $p = p_l$ ;
24         else
25             //  $q$  has two children
26             if  $x(p_r) \geq x_0$  and  $y(p_r) \geq y_0$  then
27                  $q = p_r$ ;  $p = p_l$ ; // Fig. 1(a)
28             else if  $x(p_r) < x_0$ ; then
29                 if  $x(q_l) < x_0$  then
30                      $p = q_l$ ;  $q = q_r$ ;
31                 else if  $y(q_l) < y_0$  then
32                      $p = p_r$ ;  $q = q_r$ ;
33                 else
34                      $p = p_r$ ;  $q = q_l$ ;
35             else
36                 //  $x(p_r) \geq x_0$  and  $y(p_r) < y_0$ 
37                 if  $y(p_l) < y_0$  then
38                      $p = q_l$ ;  $q = q_r$ ; // Fig. 1(b)
39                 else
40                      $p = p_l$ ;
41                     if  $y(q_l) \geq y_0$  then
42                          $q = q_l$ 
43                     else
44                          $q = q_r$ 
45         UPDATELEFTMOST( $p$ ); UPDATELEFTMOST( $q$ );
46     return best;
```

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the right of  $Q$ . More formally, the variables satisfy the following invariant:

- If  $L = 1$  then  $x(p) < x_0$ .
- If  $R = 1$  then  $x(q) > x_1$ .
- If  $Q \cap P \neq \emptyset$ , then  $p^* \in \{best\} \cup (\cup_{z \in \mathcal{I}} T_{N(z)})$ , where  $\mathcal{I} = \{z \in \{L, R\} | z = 1\}$  and

$$N(z) = \begin{cases} p & \text{if } z = L, \\ q & \text{if } z = R. \end{cases}$$

- If  $Q \cap P = \emptyset$ , then  $best = (\infty, -\infty)$ .

The algorithm is given in Algorithm 4. In the initialization, the variables  $L$ ,  $R$ ,  $best$ ,  $p$ , and  $q$  are assigned depending on the position of the root of  $T$  with respect to the query region  $Q$ .

At any moment during the algorithm, if  $L = 1$ , then we say that  $p$  is an *observing point*. Similarly, if  $R = 1$ , we say that  $q$  is an observing point.

Consider one iteration of the while-loop. The algorithm chooses an observing point that is closest to the root of  $T$ . (For ease of presentation, our pseudocode does not explicitly maintain the levels in  $T$  of  $p$  and  $q$ .) If this point is  $p$ , algorithm CHECKLEFT( $p$ ) is called; otherwise, algorithm CHECKRIGHT( $q$ ) is called.

**Algorithm 4:** HIGHEST3SIDED( $x_0, x_1, y_0$ )

---

**Input:** Real numbers  $x_0, x_1$ , and  $y_0$  defining the region  $Q = [x_0, x_1] \times [y_0, \infty)$ .

**Output:** The highest point  $p^*$  in  $Q \cap P$ , if it exists; otherwise the point  $(\infty, -\infty)$ .

```

1  best = ( $\infty, -\infty$ );
2  if  $x_0 \leq x(\text{root}(T)) \leq x_1$  then
3       $L = 0$ ;  $R = 0$ ;
4      if  $y(\text{root}(T)) \geq y_0$  then
5           $best = \text{root}(T)$ 
6  else if  $x(\text{root}(T)) < x_0$  then
7       $p = \text{root}(T)$ ;  $L = 1$ ;  $R = 0$ ;
8  else
9       $q = \text{root}(T)$ ;  $L = 0$ ;  $R = 1$ 
10 while  $L = 1 \vee R = 1$  do
11      $\mathcal{I} = \{z \in \{L, R\} | z = 1\}$ ;
12      $z =$  element of  $\mathcal{I}$  for which  $\text{level}(N(z))$  is
        minimum;
13     if  $z = L$  then
14         CHECKLEFT( $p$ );
15     else
16         CHECKRIGHT( $q$ );
17 return best;
```

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We describe the procedure for CHECKLEFT( $p$ ) in Algorithm 5. The procedure for CHECKRIGHT( $q$ ) is symmetric and omitted from this paper. Both these procedures use algorithm UPDATEHIGHEST( $t$ ), which takes

as input a point  $t$  and does the following: If  $t \in Q$  and  $y(t) > y(best)$  then it assigns  $best = t$ .

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**Algorithm 5:** CHECKLEFT( $p$ )
 

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**Input:** A node  $p$  such that  $x(p) < x_0$ .

```

1  if  $p$  is a leaf then
2  |    $L = 0$ 
3  else if  $p$  has one child then
4  |   if  $x_0 \leq x(p_l) \leq x_1$  then
5  |   |   UPDATEHIGHEST( $p_l$ );  $L = 0$ ;
6  |   else if  $x(p_l) < x_0$  then
7  |   |    $p = p_l$ 
8  |   else
9  |   |    $q = p_l$ ;  $R = 1$ ;  $L = 0$ 
10 else
11 |   //  $p$  has two children
12 |   if  $x(p_l) < x_0$  then
13 |   |   if  $x(p_r) < x_0$  then
14 |   |   |    $p = p_r$ 
15 |   |   else if  $x(p_r) \leq x_1$  then
16 |   |   |   UPDATEHIGHEST( $p_r$ );
17 |   |   |    $p = p_l$ ;
18 |   |   else
19 |   |   |    $q = p_r$ ;  $p = p_l$ ;  $R = 1$ 
20 |   else if  $x(p_l) \leq x_1$  then
21 |   |   UPDATEHIGHEST( $p_l$ );  $L = 0$ ;
22 |   |   if  $x(p_r) > x_1$  then
23 |   |   |    $q = p_r$ ;  $R = 1$ ;
24 |   |   else
25 |   |   |   UPDATEHIGHEST( $p_r$ );
26 |   else
27 |   |    $q = p_l$ ;  $L = 0$ ;  $R = 1$ 
    
```

---

Consider the set  $\mathcal{I}$  and the value of  $\ell = level(N(z))$  in lines 11 and 12 of algorithm HIGHEST3SIDED. Assume that algorithm CHECKLEFT( $p$ ) is called. During this algorithm, either  $p$  moves one level down in the tree  $T$  or the bit  $L$  is set to 0. In addition, the point  $q$  either stays the same or it becomes a child of (the original)  $p$ . Therefore, in one iteration of the while-loop in algorithm HIGHEST3SIDED, the value of  $\ell = level(N(z))$  either increases, or  $\ell$  does not change in which case the size of the set  $\{z' \in \mathcal{I} | level(N(z')) = \ell\}$  decreases. It follows that the number of iterations of the while-loop of algorithm HIGHEST3SIDED is at most twice the height of  $T$ , i.e.,  $O(\log n)$ . Since each iteration takes  $O(1)$  time, it follows that the total time for algorithm HIGHEST3SIDED is  $O(\log n)$ . It follows from the algorithm that it uses  $O(1)$  extra space.

### 3.4 ENUMERATE3SIDED( $x_0, x_1, y_0$ )

Given three real numbers  $x_0$ ,  $x_1$ , and  $y_0$ , define the three-sided range  $Q = [x_0, x_1] \times [y_0, \infty)$ . Algorithm ENUMERATE3SIDED( $x_0, x_1, y_0$ ) returns all elements of  $Q \cap P$ . This algorithm uses the same approach as al-

gorithm HIGHEST3SIDED. Besides the two bits  $L$  and  $R$ , it uses two additional bits  $L'$  and  $R'$ . Each of these four bits  $L$ ,  $L'$ ,  $R$ , and  $R'$  corresponds to a subtree of  $T$  rooted at the points  $p$ ,  $p'$ ,  $q$ , and  $q'$ , respectively; if the bit is equal to one, then the subtree may contain points that are in the query region  $Q$ .

---

**Algorithm 6:** ENUMERATE3SIDED( $x_0, x_1, y_0$ )
 

---

**Input:** Real numbers  $x_0$ ,  $x_1$ , and  $y_0$  defining the region  $Q = [x_0, x_1] \times [y_0, \infty)$ .

**Output:** All elements of  $Q \cap P$ .

```

1  if  $y(root(T)) < y_0$  then
2  |    $L = L' = R = R' = 0$ 
3  else if  $x(root(T)) < x_0$  then
4  |    $p = root(T)$ ;  $L = 1$ ;  $L' = R = R' = 0$ 
5  else if  $x(root(T)) < x_1$  then
6  |    $p' = root(T)$ ;  $L' = 1$ ;  $L = R = R' = 0$ 
7  else
8  |    $q = root(T)$ ;  $R = 1$ ;  $L = L' = R' = 0$ 
9  while  $L = 1 \vee L' = 1 \vee R = 1 \vee R' = 1$  do
10 |    $\mathcal{I} = \{z \in \{L, L', R, R'\} | z = 1\}$ ;
11 |    $z =$  element of  $\mathcal{I}$  for which  $level(N(z))$  is
    |   minimum;
12 |   if  $z = L$  then
13 |   |   ENUMERATELEFT( $p$ );
14 |   else if  $z = L'$  then
15 |   |   ENUMERATELEFTIN( $p'$ );
16 |   else if  $z = R$  then
17 |   |   ENUMERATERIGHT( $q$ );
18 |   else
19 |   |   ENUMERATERIGHTIN( $q'$ );
    
```

---

The following invariant will be maintained:

- If  $L = 1$  then  $x(p) < x_0$ .
- If  $L' = 1$  then  $x_0 \leq x(p') \leq x_1$ .
- If  $R = 1$  then  $x(q) > x_1$ .
- If  $R' = 1$  then  $x_0 \leq x(q') \leq x_1$ .
- If  $L' = 1$  and  $R' = 1$  then  $x(p') \leq x(q')$ .
- All points in  $(Q \cap P) \setminus (\cup_{z \in \mathcal{I}} T_{N(z)})$  have been reported, where  $\mathcal{I} = \{z \in \{L, L', R, R'\} | z = 1\}$  and

$$N(z) = \begin{cases} p & \text{if } z = L, \\ p' & \text{if } z = L', \\ q & \text{if } z = R, \\ q' & \text{if } z = R'. \end{cases}$$

The algorithm is given in Algorithm 6. In one iteration of the while-loop, the algorithm chooses an observing point that is closest to the root. Depending on this point, one of the procedures ENUMERATELEFT, ENUMERATELEFTIN, ENUMERATERIGHT, and

ENUMERATERIGHTIN is called. The first two procedures are given in Algorithms 7 and 8; the other two are symmetric and omitted from this paper.

---

**Algorithm 7:** ENUMERATELEFT( $p$ )
 

---

**Input:** A node  $p$  such that  $x(p) < x_0$ .

```

1 if  $p$  is a leaf then
2   |  $L = 0$ 
3 else if  $p$  has one child then
4   | if  $x_0 \leq x(p_l) \leq x_1$  then
5     | if  $L' = 1 \wedge R' = 1$  then
6       | | EXPLORE( $p'$ );
7       | else if  $L' = 1$  then
8         | |  $q' = p'$ ;  $R' = 1$ 
9         | |  $p' = p_l$ ;  $L' = 1$ ;  $L = 0$ ;
10      | else if  $x(p_l) < x_0$  then
11        | |  $p = p_l$ 
12        | else
13          | |  $q = p_l$ ;  $R = 1$ ;  $L = 0$ 
14      | else
15        | /*  $p$  has two children */
16        | if  $x(p_l) < x_0$  then
17          | | if  $x(p_r) < x_0$  then
18            | | |  $p = p_r$ 
19            | | else if  $x(p_r) \leq x_1$  then
20              | | | if  $L' = 1 \wedge R' = 1$  then
21                | | | | EXPLORE( $p'$ );
22                | | | else if  $L' = 1$  then
23                  | | | |  $q' = p'$ ;  $R' = 1$ 
24                  | | | |  $p' = p_r$ ;  $p = p_l$ ;  $L' = 1$ 
25                | | | else
26                  | | | |  $q = p_r$ ;  $p = p_l$ ;  $R = 1$ 
27              | | else if  $x(p_l) \leq x_1$  then
28                | | | if  $x(p_r) > x_1$  then
29                  | | | |  $q = p_r$ ;  $p' = p_l$ ;  $L = 0$ ;  $L' = R = 1$ ;
30                | | | else
31                  | | | | if  $R' = 1 \wedge L' = 1$  then
32                    | | | | | EXPLORE( $p'$ ); EXPLORE( $p_r$ );
33                    | | | | else if  $L' = 1$  then
34                      | | | | | EXPLORE( $p_r$ );  $q' = p'$ ;  $R' = 1$ 
35                    | | | | else if  $R' = 1$  then
36                      | | | | | EXPLORE( $p_r$ );  $L' = 1$ 
37                    | | | | else
38                      | | | | |  $q' = p_r$ ;  $L' = R' = 1$ 
39                      | | | | |  $p' = p_l$ ;  $L = 0$ 
40                | | | else
41                  | | | |  $q = p_l$ ;  $L = 0$ ;  $R = 1$ 

```

---

These procedures use algorithm EXPLORE( $t$ ), which takes as input a node  $t$  in  $T$  and reports all points in  $T_t$  whose  $y$ -coordinates are at least  $y_0$ . This algorithm does an in-order traversal of  $T_t$ , using  $O(1)$  extra space, and runs in time  $O(1 + |Q \cap T_t|)$ .

As in Section 3.3, it can be shown that the number of iterations of the while-loop of algorithm

ENUMERATE3SIDED is at most four times the height of  $T$ , i.e.,  $O(\log n)$ . It follows that the total time for algorithm HIGHEST3SIDED is  $O(\log n + |Q \cap P|)$ . It follows from the algorithm that it uses  $O(1)$  extra space.

---

**Algorithm 8:** ENUMERATELEFTIN( $p'$ )
 

---

**Input:** A node  $p'$  such that  $x_0 \leq x(p') \leq x_1$ .

```

1 if  $y(p') \geq y_0$  then
2   | report  $p'$ ;
3 if  $p'$  is a leaf then
4   |  $L' = 0$ 
5 else if  $p'$  has one child then
6   | if  $x_0 \leq x(p'_l) \leq x_1$  then
7     | |  $p' = p'_l$ ;
8     | else if  $x(p'_l) < x_0$  then
9       | | |  $p = p'_l$ ;  $L' = 0$ ;  $L = 1$ 
10    | | else
11      | | |  $q = p'_l$ ;  $R = 1$ ;  $L' = 0$ 
12    | else
13      | //  $p'$  has two children
14      | if  $x(p'_l) < x_0$  then
15        | | if  $x(p'_r) < x_0$  then
16          | | |  $p = p'_r$ ;  $L = 1$ ;  $L' = 0$ 
17          | | else if  $x(p'_r) \leq x_1$  then
18            | | |  $p = p'_l$ ;  $p' = p'_r$ ;  $L = 1$ ;
19          | | else
20            | | |  $q = p'_r$ ;  $p = p'_l$ ;  $R = 1$ ;  $L = 1$ ;  $L' = 0$ 
21        | | else if  $x(p'_l) \leq x_1$  then
22          | | | if  $x(p'_r) > x_1$  then
23            | | | |  $q = p'_r$ ;  $p' = p'_l$ ;  $R = 1$ ;
24          | | | else
25            | | | | if  $R' = 1$  then
26              | | | | | EXPLORE( $p'_r$ );  $p' = p'_l$ 
27            | | | | else
28              | | | | |  $q' = p'_r$ ;  $p' = p'_l$ ;  $R' = 1$ 
29          | | | else
30            | | | |  $q = p'_l$ ;  $L' = 0$ ;  $R = 1$ 

```

---

## 4 Conclusion

Our motivation for creating an in-place priority search tree was for designing an in-place algorithm for finding the *maximum area axis-parallel empty rectangle* among a set of  $n$  point obstacles in a rectangular region. It can be shown that using our in-place priority search tree one can recognize the desired rectangle in  $O(m \log n)$  time using  $O(1)$  extra-space, where  $m$  is the number of all possible maximal empty rectangles. It will be worthwhile to find other applications where this tree may help in saving space.

## References

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- [2] E. M. McCreight. Priority search trees. *SIAM J. Comput.*, 14(2):257–276, 1985.